

MILBANK, TWEED, HADLEY & McCLOY LLP
INTERNATIONAL SQUARE BUILDING
1850 K STREET, N. W., SUITE 1300
WASHINGTON, D.C. 20006

NEW YORK
 212-531-5000
 FAX: 212-531-5219

LOS ANGELES
 213-629-4000
 FAX: 213-629-5063

PALO ALTO
 650-739-7000
 FAX: 650-739-7100

LONDON
 44-207-448-1000
 FAX: 44-207-448-3020

EINAR STOLE, Ph.D.
 DIRECT DIAL NUMBER
 202-835-7553
 E-MAIL: cstole@milbank.com

RECEIVED
CENTRAL FAX CENTER
NOV 18 2008

TOKYO
 813-3504-1050
 FAX: 813-3595-2790

HONG KONG
 852-2971-4388
 FAX: 852-2840-0797

SINGAPORE
 65-47X-2400
 FAX: 65-47X-2500

FRANKFURT
 49-69-7593-7170
 FAX: 49-69-7593-8301

FACSIMILE TRANSMISSION

DATE: November 18, 2008

TO:

NAME	FAX NO.	PHONE NO.
US Patent and Trademark Office	(571) 273-8300	

FROM: Einar Stole **PHONE:** (202) 835-7553

RE: U.S. Patent Application Serial No. 10/594,420

FILE NUMBER	17357.01701US	
NUMBER OF PAGES, INCLUDING COVER:	7	ORIGINALS FOLLOW BY MAIL: NO

MESSAGE:

Please see attached Request for Corrected Filing Receipt dated November 10, 2008. Kindly acknowledge receipt of this communication.

Einar Stole

CONFIDENTIALITY NOTE:

This facsimile message may contain legally privileged and/or confidential information. If the reader of this message is not the intended recipient, please do not copy, distribute or otherwise disseminate this message. Instead, please contact the sender at 202-732-1000.

PAGE 1/7 * RCVD AT 11/18/2008 5:21:29 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/10 * DNIS:2738300 * CSID:202 835 7586 * DURATION (mm:ss):02:34

RECEIVED
CENTRAL FAX CENTER
NOV 18 2008

002

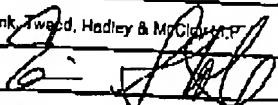
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.
PTO/SB/21 (10-04)
Approved for use through 11/30/2008, OMB 0851-0037
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

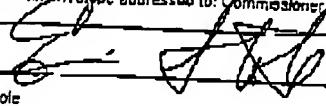
Total Number of Pages In This Submission

Application Number	10/354,420
Filing Date	May 18, 2005
First Named Inventor	Sun
Art Unit	1014
Examiner Name	Not Yet Assigned
Attorney Docket Number	17337.011701US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> P.D. Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks 1. Request for Corrected Filing Receipt 2. Marked-Up copy of Filing Receipt dated 11/08/2008		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name: Milbank, Tweed, Hadley & McCloy, LLP Signature:  Printed name: Elinor Stole Date: November 18, 2008 Reg. No. 47,272		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature: 

Typed or printed name: Elinor Stole

Date: November 18, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to evaluate, prepare, and submit the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEE TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

US PATENT APPLICATION NO. 10/594,420
 ATTORNEY DOCKET NO: 17357.01701US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Miao-Kun Sun *et al.*) Group Art Unit: 1614
 US Application Serial No. 10/594,420) Examiner: Not Yet Assigned
 Filed: May 18, 2005)
 For: *Treatment of Depressive Disorders*)

RECEIVED
CENTRAL FAX CENTER
NOV 18 2008

Mail Stop Missing Parts
 Commissioner for Patents
 United States Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

**REQUEST
FOR CORRECTED FILING RECEIPT**

Sir:

Applicants respectfully request correction of the Filing Receipt (Confirmation No. 2926) issued on November 6, 2008. Specifically, please change Inventor's name from:

Sun Miao-Kun, Gaithersburg, MD

to

Miao-Kun Sun, Gaithersburg, MD

Please also add the second inventor of this application who was erroneously omitted from the Filing Receipt: **Daniel L. Alkon, Bethesda, MD.**

Applicants enclose herein a marked-up copy of the Filing Receipt.

EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 13-3250, reference No. 17357.01701US. This paragraph is intended to be an **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with C.F.R. § 1.136(a)(3).

DCI: #8155251

PATENT
U.S. APPLICATION SERIAL NO. 11/802,727
ATTORNEY DOCKET NO.: 17357.01701US

Respectfully submitted,

MILBANK, TWEED, HADLEY & McCLOY LLP

Date: November 18, 2008

By:


Einal Stole
Registration No. 47,272

Customer No. 38647
MILBANK, TWEED, HADLEY & McCLOY LLP
1850 K Street, N.W.
Suite 1100
Washington, DC 20006
Tel. No. (202) 835-7525
Fax No. (202) 835-7586

DCI:#8155251

PAGE 4/7 * RCVD AT 11/18/2008 5:21:29 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/10 * DNIS:2738300 * CSID:202 835 7586 * DURATION (mm:ss):02:34

RECEIVED
CENTRAL FAX CENTER
NOV 18 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address AUTHORIZED FOR PATENTS
R.R. Box 40
Washington, D.C. 20231-4000
www.uspto.gov

APPLICATION NUMBER	FILING or 371(e) DATE	DEPART UNIT	FEE REC'D	ATTY.DOCKET.ND	TOT CLAIMS	IND CLAIMS
10/594,420	06/30/2008	1614	1480	17337.01701US	46	3

38847

MILBANK, TWEED, HADLEY & MCCLOY LLP
INTERNATIONAL SQUARE BUILDING
1850 K STREET, N.W., SUITE 1100
WASHINGTON, DC 20006

CONFIRMATION NO. 2926

FILING RECEIPT

OCN00000030946816

Date Mailed: 11/06/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

Applicant(s)

Sun-Miao-Kun, Gaithersburg, MD. Miao-Kun Sun, Gaithersburg, MD
Assignment For Published Patent Application Daniel L. Lakon, Bethesda, MD

BLANCHETTE ROCKEFELLER NEUROSCIENCES INSTITUTE, Morgantown, WV
Power of Attorney: The patent practitioners associated with Customer Number 38847.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US05/17158 05/18/2005
which claims benefit of 60/571,892 05/18/2004

Foreign Applications

If Required, Foreign Filing License Granted: 07/12/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,
is US 10/594,420

Projected Publication Date: 02/12/2009

Non-Publication Request: No

Early Publication Request: No
** SMALL ENTITY **

page 1 of 3

RECEIVED
CENTRAL FAX CENTER
NOV 18 2008

Title

Treatment of Depressive Disorders

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-766-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

Page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).